Adjudication Panel

What we do and how to avoid us

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Chair Adjudication Panel

Who we are

Members act independently of the CLC

Lay members - 6

The Panel includes lay members alongside professional members.

Professional members - 3

Licensed conveyancers bring professional expertise to the Panel.

What we do

Reach Findings of Fact

The Panel considers allegations of professional misconduct or regulatory breaches.

1. Are factual allegations proved?
2. Is there a liability to disciplinary action

Decide on Sanction

The Panel only considers sanction if liability to disciplinary action is found

Sanctions include: Disqualification; conditions; fine; reprimand & revocation of licence

Purpose: protect consumers and uphold professional standards

How a case gets to a Panel

Decision to Investigate	A reference, complaint or appeal starts the relevant procedure – carried out by CLC.
Referral to AP	Allocated to Single Member for Case to Answer decision – two stage test
Hearing	Public hearing live or remote. Decision of facts and outcome, including costs
Decision	Findings are normally published

Cases dealt with in 25/26

Seven Cases

Breaches of Accounts Code
Breaches of AML
Regulations
Lack of integrity
Recklessness

Disqualification – 2
Revocation – 1
Fine – 5

Costs and publication ordered in every case

How to avoid us

Comply with your professional obligations

Cooperate with the Investigator – admit wrongdoing where appropriate

Put things right at the first opportunity

Pass the “Attitude Test”

How to minimise the damage

Take it seriously and come prepared

Be realistic – don’t defend the indefensible

Genuine remorse and real insight counts for a lot

The Panel recognise that good professionals occasionally get it wrong

The Panel usually see through flannel!